



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/964,746	09/28/2001	Tom E. Pearson	219.40440X00

CONFIRMATION NO. 2165

020457  
ANTONELLI TERRY STOUT AND KRAUS  
SUITE 1800  
1300 NORTH SEVENTEENTH STREET  
ARLINGTON, VA 22209

FORMALITIES LETTER



\*OC000000006961659\*

Date Mailed: 10/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

*Mik*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/15/2001 HBERHE 00000079 09964746

01 FC:105

130.00 OP



# 3

219.40440X00

## UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Tom E. PEARSON et al.  
Serial No.: 09/964,746  
Filed: September 28, 2001  
For: METHOD AND STRCUTURE FOR IDENTIFYING LEAD-FREE SOLDER  
Attn: Customer Service Center Initial Patent Examination Division

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - SUBMISSION OF LATE DECLARATION**

Assistant Commissioner  
for Patents  
Washington, D. C. 20231

November 14, 2001

Sir:

In response to the Notice to File Missing Parts of Application mailed October 24, 2001 in connection with the above-identified application, Applicants are filing herewith an executed Declaration for patent application in compliance with 37 C.F.R. §1.63. In addition, Applicants are also submitting a credit card payment for \$130.00 to cover the surcharge for the filing of the belated Declaration in accordance with 37 C.F.R. §1.16(e).

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 01-2135 (219.40440X00) and please credit any excess fees to such deposit account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT &amp; KRAUS, LLP

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David C. Oren  
Registration No. 38,694

DCO/dbp  
Attachments



Section 5

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (10-01)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

## Complete if Known

Application Number	09/964,746
Filing Date	September 28, 2001
First Named Inventor	PEARSON, TOM E.
Examiner Name	Unassigned
Group Art Unit	2841
Attorney Docket No.	219.40440X00

TOTAL AMOUNT OF PAYMENT (\$ 170.00

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:  
Deposit Account Number 01-2135  
Deposit Account Name Antonelli, Terry, Stout & Kraus, LLP  
☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant Claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:  
☐ Check ☒ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

### 2. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

### 1. EXTRA CLAIM FEES

Extra Claims	Fee from below	Fee Paid
Total Claims 30 -20** =	x	=
Indep. Claims 5-3** =	x	=
Multiple Dependent		=

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$

\*\*or number previously paid, if greater; For Reissues, see above.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examination action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	740	246	370	For each additional invention to be examined (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 170.00

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)	David C. Oren	Registration No. (Attorney/Agent)	38,694	Telephone	703-312-6600
Signature		Date	11/14/2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



219.40440X00

Applicants: Tom E. PEARSON et al.

Serial No.: 09/964,746

Filed: September 28, 2001

For: METHOD AND STRCUTURE FOR IDENTIFYING LEAD-FREE SOLDER

Art Unit: 2841

Examiner: Unassigned

*Handwritten signature: #9 Drawing 11/14/01 2-8-01*

**LETTER SUBMITTING FORMAL DRAWINGS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

November 14, 2001

Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application, submitted herewith are five (5) sheets of formal drawings illustrating Figs. 1-2, 3A-3E, 4A-4E, 5A-5C and 6 in connection with the above-identified application.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

*Handwritten signature of David C. Oren*

David C. Oren  
Registration No. 38,694

DCO/dbp  
(703) 312-6600

Attachments